



Maine Paid Family and Medical Leave  
MAINE

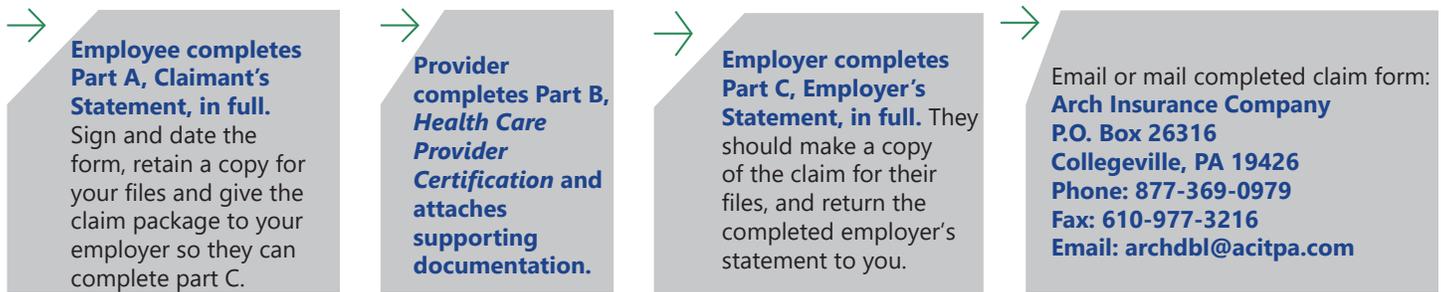
# Employee's Own Health Condition

If you work in Maine, you can apply for the Maine Paid Family and Medical Leave Insurance benefits. Arch Insurance will review all submitted claims to determine your eligibility for benefits. The employee who is applying for leave must complete this certification. This certification will be shared with Arch Insurance and your employer\*.

## Before you apply for ME Paid Leave...

- Check eligibility requirements for leave**
- Plan your leave.** Leave can be taken continuously, intermittently, or on a reduced leave schedule, in accordance with ME Paid Family and Medical Leave.
- Notify your ME employer** within 60 days before the start of leave (if the leave is foreseeable). Otherwise, notify your employer as soon as possible.

## Complete your claim form(s) and attach required documentation



## Application for Maine Paid Family and Medical Leave | Employee's Own Health Condition

# Part A: Employee Information

(to be completed by the employee requesting leave)

1 **Employee's Legal Name:** \_\_\_\_\_  
 (First Name, Middle Initial, Last Name)

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2 **Employee's Mailing Address:**

**Street** \_\_\_\_\_

**Address line 2** \_\_\_\_\_

**City** \_\_\_\_\_ **State** | \_ \_ | **Zip** | \_ \_ \_ \_ |

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3 **Social Security Number:** \_ \_ - \_ - \_ \_ \_

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4 **Employee's Date of Birth:** |    / |    / |            

m m d d y y y y

\*Benefits described within are underwritten by Arch Insurance Company, NAIC #11150, a member company of Arch Insurance Group Inc. ("Arch"). Please refer to your policy for detailed terms and conditions. The information you provide to Arch on this form will be used to administer PFML benefits. In order to process your claim application, and determine your eligibility and benefit amount, Arch may share your information with your current and/ or past employer(s), and PFML Partners.

Visit [archinsurance.com/disability](http://archinsurance.com/disability) or call 877-369-0979 for more information.

## Questions?

Contact us at 877-369-0979 or find us online at [archinsurance.com/disability](http://archinsurance.com/disability)

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Part A Continued

5 Employee's Gender:  Male  Female  Non-Designated / Other

6 Employee's Phone #: ( \_ \_ \_ ) - | \_ \_ \_ | - | \_ \_ \_ \_ |

7 Employee's Email Address: \_\_\_\_\_

8 Date of Disability: | <sup>m</sup> | <sup>m</sup> | / | <sup>d</sup> | <sup>d</sup> | / | <sup>y</sup> | <sup>y</sup> | <sup>y</sup> | <sup>y</sup> |

9 Reason for Medical Leave Request: \_\_\_\_\_

10 Employer Information:

Name \_\_\_\_\_

Street \_\_\_\_\_

Address line 2 \_\_\_\_\_

City \_\_\_\_\_

State | \_ \_ | Zip | \_ \_ \_ \_ \_ |

Avg # Hours Worked/Week | \_ | Avg # Days Worked/Week | \_ | Avg Wages (\$) | \_ |

10a List all additional employers from the past year:

Employer #1 Name \_\_\_\_\_

Street \_\_\_\_\_

Address line 2 \_\_\_\_\_

City \_\_\_\_\_ State | \_ \_ | Zip | \_ \_ \_ \_ \_ |

Period of Employment:

From | <sup>m</sup> | <sup>m</sup> | / | <sup>d</sup> | <sup>d</sup> | / | <sup>y</sup> | <sup>y</sup> | <sup>y</sup> | <sup>y</sup> | To | <sup>m</sup> | <sup>m</sup> | / | <sup>d</sup> | <sup>d</sup> | / | <sup>y</sup> | <sup>y</sup> | <sup>y</sup> | <sup>y</sup> |

Avg # Hours Worked/Week | \_ | Avg # Days Worked/Week | \_ | Avg Wages (\$) | \_ |

Employer #2 Name \_\_\_\_\_

Street \_\_\_\_\_

Address line 2 \_\_\_\_\_

City \_\_\_\_\_ State | \_ \_ | Zip | \_ \_ \_ \_ \_ |

Period of Employment:

From | <sup>m</sup> | <sup>m</sup> | / | <sup>d</sup> | <sup>d</sup> | / | <sup>y</sup> | <sup>y</sup> | <sup>y</sup> | <sup>y</sup> | To | <sup>m</sup> | <sup>m</sup> | / | <sup>d</sup> | <sup>d</sup> | / | <sup>y</sup> | <sup>y</sup> | <sup>y</sup> | <sup>y</sup> |

Avg # Hours Worked/Week | \_ | Avg # Days Worked/Week | \_ | Avg Wages (\$) | \_ |

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**Application for Maine Paid Family and Medical Leave | Employee's Own Health Condition**

Part A Continued

**11 Will leave be for a continuous period of time, intermittent and/or reduced?**

Continuous      Leave Start Date:      Leave End Date:  
    m m / d d / y y y y      m m / d d / y y y y  
    | \_ \_ / | \_ \_ / | \_ \_ \_ \_ |      | \_ \_ / | \_ \_ / | \_ \_ \_ \_ |

Dates are estimated

Intermittent      Identify dates intermittent leave will be taken: \_\_\_\_\_

Dates are estimated \_\_\_\_\_

Reduced      Leave Start Date:      m m / d d / y y y y  
    | \_ \_ / | \_ \_ / | \_ \_ \_ \_ |

Frequency of leave: \_\_\_\_\_

Dates are estimated

**12 Was 30 days advanced notice given to your employer for this leave?**

Yes      Date notice provided to employer      m m / d d / y y y y  
    | \_ \_ / | \_ \_ / | \_ \_ \_ \_ |

No      Reason: \_\_\_\_\_

**13 Have you received or claimed any of the following benefits for this leave?**

Benefit Type	Received	Claimed	From (mm/dd/yyyy)	Through (mm/dd/yyyy)
a. Unemployment benefits	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
b. Workers' Compensation	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
c. Short Term Disability (STD)	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
d. Other (Sick/Vacation/PTO or other employer provided leave. Please specify.) _____	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. I further certify that if benefits are paid in excess of the amount to which I am entitled, I will return to the payor of such benefits, the amount that was overpaid, and I acknowledge that failure to do so may result in the accrual of interest and other penalties. I am hereby making a request for benefits under the Maine Paid Family and Medical Leave program. My signature affirms that the information I am providing is true and accurate to the best of my knowledge and belief.

**Employee's Signature:** \_\_\_\_\_

Date:      m m / d d / y y y y  
    | \_ \_ / | \_ \_ / | \_ \_ \_ \_ |

End of Part A

**Questions?** Contact us at **877-369-0979**  
 or find us online at [archinsurance.com/disability](http://archinsurance.com/disability)

26-02-DBL11

# Part B: Maine - Health Care Provider Certification

## Important tips when completing this form

To request Maine Paid Family and Medical Leave benefits, you will need to return this medical certification form. To start, complete **Section 1** and send it to your treating healthcare provider to complete **Section 2** and return to us with your Application and any other supporting documents as part of your claim for benefits.

### Section 1: For Completion by the Employee

1 **Employee's Legal Name:** \_\_\_\_\_  
(First Name, Middle Initial, Last Name)

2 **Employee's Date of Birth:** |       / |       / |             |  
m m / d d / y y y y

3 **Employee's Phone #:** (          ) - |          | - |             |

4 **Employee's Email Address:** \_\_\_\_\_

5 **Claim Number (if available):** \_\_\_\_\_

### Section 2: For Completion by the Treating Health Care Provider

Your patient made a request to be absent from work because of their own illness or injury. For us to make a decision on their claim for ME PFML benefits, we will need you to complete the information in Section 2. When completing this certification, we ask:

- Your answers are to be your best estimate based on your medical knowledge, experience, and examination of the patient.
- Be as specific as you can. Using terms like "as needed", "unknown" or "indeterminate" may not be enough to approve the claim.
- Limit your responses to the health condition for which your patient is seeking leave. If your patient needs leave due to more than one health condition, please complete a separate certification for each condition.
- Do not include information about genetic tests, as defined in 29 C.F.R. § 1635.3(f), genetic services, as defined in 29 C.F.R. § 1635.3(e), or the manifestation of disease or disorder in the employee's family members, 29 C.F.R. § 1635.3(b).

1 **Check the box(es) for the questions below, as applicable.** (Options continued on next page)

**Inpatient Care:** The patient (  was /  is /  will be) admitted for an overnight stay in a hospital, hospice, or residential medical care facility on the following date(s): \_\_\_\_\_

**Incapacity plus Treatment:** (e.g. outpatient surgery, strep throat)

- Due to the patient's health condition, the patient was (  was /  is /  will be) incapacitated for *more than three consecutive, full calendar days*.
- The patient was (  was /  is /  will be) seen on the following date(s): \_\_\_\_\_
- The health condition (  had /  has /  will) also result(ed) in a course of continuing treatment under the supervision of a health care provider (e/g., prescription medication (other than over the counter), therapy requiring special equipment, etc.)

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- Pregnancy:** The health condition is pregnancy. List the expected delivery date: \_\_\_\_\_ (mm/dd/yyyy)
- Chronic Health Conditions:** (e.g., asthma, migraine headaches) Treatment visits are expected to be at least twice per year
- Permanent or Long-Term Health Conditions:** Due to the health condition, incapacity is permanent or long term and requires the continuing supervision of a health care provider (even if active treatment is not being provided).
- Health Conditions requiring Multiple Treatments:** (e.g., chemotherapy treatments, restorative surgery, etc.) Due to the health condition, it is medically necessary for the patient to receive multiple treatments.
- Organ Donation:** Donation of an organ or tissue, including preoperative or diagnostic services, surgery, post-operative treatment, and recovery.
- None of the above:** If none of the above six categories are checked, (i.e., inpatient care, pregnancy) no additional information is needed. Please sign and date the form.

2 **Diagnosis Code:** \_\_\_\_\_

**Diagnosis Description:** \_\_\_\_\_

3 **First date of disability:** | m m / d d / y y y y |

**Date you first examined the patient for this health condition:** | m m / d d / y y y y |

4 **Last office visit:** | m m / d d / y y y y |

**Next office visit:** | m m / d d / y y y y |

**Expected return to work date:** | m m / d d / y y y y |

5 **For the health condition for which your patient is requesting time away from work, is it your belief that the health condition was caused by or otherwise related to a workplace injury or illness?**

- Yes
- No

6 **If the employer does not supply a statement of your patient's essential functions or a job description, answer these questions based upon the patient's own description of the essential job functions. An employee who must be absent from work to receive medical treatment(s), such as scheduled medical visits, for a health condition is considered to be not able to perform the essential job functions of the position during the absence for treatment(s).**

Due to the health condition, my patient (  was not able/  Is not able/  will not be able) to perform one or more of the essential job functions(s). Identify at least one essential job function your patient was/is/will be unable to perform:

\_\_\_\_\_  
\_\_\_\_\_

**Questions?** Contact us at 877-369-0979 or find us online at [archinsurance.com/disability](http://archinsurance.com/disability)

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7 Check the applicable box(es) and complete the information that best describes the type of time away from work that the applicant will need for their own health condition.

Continuous leave: My patient has/will be incapacitated for a single continuous period due to their own health condition, including time for treatment and recovery beginning \_\_\_/\_\_\_/\_\_\_ and ending \_\_\_/\_\_\_/\_\_\_.

Reduced Work Schedule leave: My patient will need to work a reduced work schedule due to their own health condition and associated treatment and recovery period beginning \_\_\_/\_\_\_/\_\_\_ and ending \_\_\_/\_\_\_/\_\_\_ for the following:

a reduced work day: limited to \_\_\_ hours per day;

a reduced work week: limited to \_\_\_ day(s) per week

Other (Provide Details): \_\_\_\_\_

Intermittent leave - Incapacitation: My patient is expected to have periodic flare-ups where intermittent absence from work will be medically necessary beginning \_\_\_/\_\_\_/\_\_\_ and ending \_\_\_/\_\_\_/\_\_\_.

Describe the estimated frequency and duration of flare-ups. (e.g., 1x per week lasting 4 hours), (e.g., 1x every 3 months lasting 1-2 days), (e.g., 3x every month lasting 1 day). Please select and complete one:

Weekly: \_\_\_ time(s) every \_\_\_ week(s) for a duration of \_\_\_ hour(s) or \_\_\_ day(s) per instance;

OR Monthly: \_\_\_ time(s) every \_\_\_ week(s) for a duration of \_\_\_ hour(s) or \_\_\_ day(s) per instance

Intermittent leave - Treatments: My patient is expected to have periodic flare-ups where intermittent absence from work will be medically necessary beginning \_\_\_/\_\_\_/\_\_\_ and ending \_\_\_/\_\_\_/\_\_\_.

Describe the estimated frequency and duration for treatments/appointments. (e.g., 1 x per week lasting 2 hrs), (e.g., 1 x per month lasting 4 hrs) (e.g., 3x every 2 months lasting 6 hours). Please select and complete one:

Weekly: \_\_\_ time(s) every \_\_\_ week(s) for a duration of \_\_\_ hour(s) or \_\_\_ day(s) per instance;

OR Monthly: \_\_\_ time(s) every \_\_\_ week(s) for a duration of \_\_\_ hour(s) or \_\_\_ day(s) per instance

Health Care Provider Information and Signature

Print Treating Health Care Provider Name: \_\_\_\_\_

Specialty/Board Certification: \_\_\_\_\_

Treating Health Care Provider's Business address: \_\_\_\_\_

Certification License Number: \_\_\_\_\_ State: \_\_\_\_\_

Telephone: ( \_\_\_ \_\_\_ \_\_\_ ) - | \_\_\_ \_\_\_ \_\_\_ | - | \_\_\_ \_\_\_ \_\_\_ \_\_\_ |

Fax Number: ( \_\_\_ \_\_\_ \_\_\_ ) - | \_\_\_ \_\_\_ \_\_\_ | - | \_\_\_ \_\_\_ \_\_\_ \_\_\_ |

Email Address: \_\_\_\_\_

Treating Health Care Provider Signature: \_\_\_\_\_

Date: | m \_\_\_ / | m \_\_\_ / | d \_\_\_ / | y \_\_\_ y \_\_\_ y \_\_\_ y \_\_\_ |

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Application for Maine Paid Family and Medical Leave | Employee's Own Health Condition

Employee's Name: \_\_\_\_\_

**Part C:** Employer Information (to be completed by the employer for the above named employee requesting PFML)

**1 Employer Information:**

Business's Full Legal Name: \_\_\_\_\_

Street \_\_\_\_\_

Address line 2 \_\_\_\_\_

City \_\_\_\_\_ State | \_\_ \_\_ | Zip | \_\_ \_\_ \_\_ \_\_ |

Country (if not USA): \_\_\_\_\_

**2 Policy Number:** \_\_\_\_\_

**3 Business's Federal Employer Identification Number (FEIN):** \_\_\_\_\_

**4 Employer contact person (Name & Title) for this leave request:** \_\_\_\_\_

**5 Contact Phone #:** ( \_\_ \_\_ \_\_ ) - | \_\_ \_\_ \_\_ | - | \_\_ \_\_ \_\_ \_\_ |

**6 Contact email address:** \_\_\_\_\_

**7 Employee's current employment status:**

Actively employed-not terminated

Terminated from employment — Date termed: | <sup>m</sup> \_\_ <sup>m</sup> \_\_ / | <sup>d</sup> \_\_ <sup>d</sup> \_\_ / | <sup>y</sup> \_\_ <sup>y</sup> \_\_ <sup>y</sup> \_\_ |

**8 Date employee was hired:**

Date: | <sup>m</sup> \_\_ <sup>m</sup> \_\_ / | <sup>d</sup> \_\_ <sup>d</sup> \_\_ / | <sup>y</sup> \_\_ <sup>y</sup> \_\_ <sup>y</sup> \_\_ |

**9 Last day worked before leave:**

Date: | <sup>m</sup> \_\_ <sup>m</sup> \_\_ / | <sup>d</sup> \_\_ <sup>d</sup> \_\_ / | <sup>y</sup> \_\_ <sup>y</sup> \_\_ <sup>y</sup> \_\_ |

**10 Has the employee returned to work?**

Yes  No

Return to work date: | <sup>m</sup> \_\_ <sup>m</sup> \_\_ / | <sup>d</sup> \_\_ <sup>d</sup> \_\_ / | <sup>y</sup> \_\_ <sup>y</sup> \_\_ <sup>y</sup> \_\_ |  Actual  Estimated

**11 Employee's Job Title and Description:** \_\_\_\_\_

**Application for Maine Paid Family and Medical Leave | Employee's Own Health Condition**

Employee's Name: \_\_\_\_\_

**12 Please check the appropriate boxes:**

Exempt   
  Non Exempt   
  Full Time   
  Part Time   
  Hourly   
 Hrs/Wk: \_\_\_\_\_

**13 Maine ("ME") Employment Verification:**

- a. Are the employee's earnings reported at year end on IRS form W-2?     Yes     No (answer question 13b)
- b. Is the employee subject to Unemployment Insurance obligations in ME?     Yes     No (answer question 13c)
- c. Is the employee's service localized (performed entirely) within ME?     Yes     No (answer question 13d)
- d. If services are not localized, is the employee's base of operations in ME, and some of the work is performed in ME?     Yes     No (answer question 13e)
- e. If there is no base of operations, does the employee perform some of the services within ME and receive direction and control from ME?     Yes     No (answer question 13f)
- f. If there is no place of direction and control, no localized services and no base of operations in ME, does the employee reside in ME?     Yes     No

**14 Select the days of the week the employee usually works:**

Monday   
  Tuesday   
  Wednesday   
  Thursday   
  Friday   
  Saturday   
  Sunday

**15 Provide the employee's earnings history for the prior 5 completed calendar quarters preceding the request for leave:**

Quarter Ending (mm/yyyy)	Gross Wages (\$)

**16 Provide the scheduled work hours from the last 4 weeks the employee reported to work prior to the leave:**

Week 1 \_\_\_\_\_  
 Week 2 \_\_\_\_\_  
 Week 3 \_\_\_\_\_  
 Week 4 \_\_\_\_\_  
 Average: \_\_\_\_\_

**Application for Maine Paid Family and Medical Leave | Employee's Own Health Condition**

Employee's Name: \_\_\_\_\_

**17 Was 30 days advance given to you by the employee requesting foreseeable leave?**

Yes  No

Date notice provided to employer: |       / |       / |             |

**18 Has the employee received or claimed any of the following benefits for this leave?**

Benefit Type	Received	Claimed	From (mm/dd/yyyy)	Through (mm/dd/yyyy)
a. Unemployment benefits (CESA)	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
b. Workers' Compensation due to work-related injury/illness	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
c. Short term disability (STD)	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
d. Other (Sick/Vacation/PTO or other employer provided leave. Please specify.)	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____

**19 Employer-provided Paid Leave during leave period**

a. Will the employee be using any employer-provided paid leave **during the leave period requested?**

Yes (answer question b)  No

b. Will the employee be receiving wages **during all or a portion of the leave period requested?**

Yes (answer question i and ii)  No

i. provide detail on type of wages and the date(s) it will be paid for:

\_\_\_\_\_

ii. if yes, is reimbursement requested by employer?  Yes  No

\*Reimbursement is only available if employer continued salary during leave

**Note:** Employer reimbursement may be permitted if the employee's salary is being continued through some kinds of benefits payments made by the employer. Employer reimbursement is not permitted if the employee is using any employer-provided paid leave such as use of accrued vacation, sick, personal or parental leave.

**Declaration and Signature:**

**NOTICE:** It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages.

I am the person authorized to sign as the employer of the employee requesting benefits under the Maine Paid Family and Medical Leave program. My signature affirms that to the best of my knowledge the information I have provided is true, accurate, and complete. Any false statements or other failure to provide truthful, accurate and complete information may result in monetary and other penalties as well as the possibility of criminal prosecution.

**Signature:**

\_\_\_\_\_

Date: |       / |       / |             |

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or find us online at [archinsurance.com/disability](http://archinsurance.com/disability)